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1 equivalent values of this intercalibration metric using linear regression.
2 Variation of ± 0.05 EQR units around the median value was considered to be
3 acceptable and the exercise provided a means for those Member States who
4 fell significantly above or below this line to review their approaches and, if
5 necessary, adjust their boundaries.

6 **Key words**

7 Diatoms, algae, monitoring, intercalibration, eutrophication, pollution

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1 **Introduction**

2 The Water Framework Directive (WFD: European Union, 2000) establishes a
3 framework for the protection of all waters (including inland surface waters,
4 transitional waters, coastal waters and groundwater) in Europe. The
5 environmental objectives of the WFD require all surface water bodies to
6 achieve “good ecological status” (defined as having a biota consistent with
7 only slight alterations from that expected in the absence of human impacts) by
8 2015. Each Member State (MS) has had to establish methods for assessing
9 ecological status for a range of biological elements, as defined in Annex V of
10 the WFD. One of these biological elements is ‘macrophytes and
11 phytobenthos’.

12 The WFD also requires that all MS participate in an intercalibration exercise in
13 order to ensure that high and good ecological status concepts are consistent
14 across the EU. In order to achieve this, each MS is required to establish
15 Ecological Quality Ratios (EQRs – observed status / expected status) for the
16 boundaries between high (H) and good (G) status and for the boundary
17 between good (G) and moderate (M) status, which are consistent with the
18 WFD normative definitions of those class boundaries given in Annex V of the
19 WFD. All 27 MS of the EU are involved in this process, along with Norway,
20 who has joined the process on a voluntary basis. Expert groups have been
21 established for lakes, rivers and coastal/transitional waters, subdivided into 14
22 Geographical Intercalibration Groups (GIGs -groups of MS that share the
23 same water body types in different sub-regions or ecoregions). The outcome

1 of the process will be published by the European Commission (van der Bund
2 *et al.*, 2008).

3 This paper describes an intercalibration exercise performed on river
4 phytobenthos responses along a nutrient enrichment / organic pollution
5 gradient in the Central / Baltic GIG (CB GIG), the largest of the five GIGs
6 established for the intercalibration exercise, covering most of central Europe,
7 from the Atlantic coast to the Baltic states (other GIGs encompass northern
8 parts of Britain and Ireland plus Scandinavia, Alpine regions, the
9 Mediterranean and Eastern Continental areas – see ECOSTAT, 2004).
10 Twelve MS belonging to CB GIG are taking part in the phytobenthos IC
11 exercise: Austria (AT), Belgium (BE), Estonia (EE), France (FR), Germany
12 (DE), Ireland (IE), Luxembourg (LU), Netherlands (NL), Poland (PL),
13 northwest Spain (ES), Sweden (SE) and the United Kingdom (UK). Czech
14 Republic, Denmark, Italy, Latvia and Lithuania are also part of CB GIG but
15 have not been involved in this exercise. The two administrative regions of
16 Belgium that joined the intercalibration, Flanders (BE-F) and Wallonia (BE-W)
17 have different methods for assessing ecological status and are treated
18 separately here.

19 Annex V of the WFD identifies four characteristics of ‘macrophytes and
20 phytobenthos’ (taxonomic composition, abundance, likelihood of undesirable
21 disturbances and presence of bacterial tufts) that need to be considered when
22 setting status class boundaries. Most MS in CB GIG have chosen to develop
23 separate assessment methods for macrophytes and phytobenthos and, in
24 addition, to use diatoms as proxies for phytobenthos, focussing on taxonomic

1 composition. A variety of approaches have been adopted (Table 1), most of
2 which are based on existing weighted-average metrics although a few MS
3 have developed new methods for the WFD based on the relative abundance
4 of those species which are characteristic of reference sites compared with that
5 of species which are associated with impacted conditions.

6 The metrics used by MS convert the response to a pressure gradient into a
7 continuous variable which then has to be converted into an EQR, computed
8 from Observed (O) and Expected (E) status values. The WFD defines high,
9 good and moderate status in terms of their deviation from the biota expected
10 at the reference state (“no or only very minor alteration to the water body
11 resulting from human activities”) and, therefore, a national method, if it is to be
12 compliant with the WFD, has to be able to express each status class in terms
13 of change from the reference state. The intercalibration was performed at the
14 scale of individual diatom samples; in practice several MS will base final
15 classifications of water bodies on multiple samples from one or several sites
16 and, in some cases, on non-diatom algae too.

17 **Methods**

18 ***Sample collection and analysis***

19 Samples were collected and analysed following standard methods (CEN,
20 2003; 2004; Kelly et al., 1998). At least 300 specimens were identified using
21 standard Floras (primarily Krammer and Lange-Bertalot, 1986, 1997, 2000,
22 2004) and counted. The resulting lists of taxa plus relative abundances were
23 then used to compute the national metric and the intercalibration metrics.

1 ***Test datasets***

2 Data used in this exercise came from two sources (depending upon the MS):
3 either from existing monitoring networks or from research projects associated
4 with method development for the WFD. All data were stored in a central
5 relational database, managed by Scottish Environment Protection Agency
6 (UK). The database comprises three main components: raw diatom data,
7 supporting chemical data and sample information. There were, however,
8 difficulties in obtaining comparable environmental data due to differences in
9 both determinands (e.g. total phosphorus versus soluble reactive phosphorus)
10 and sampling strategies (e.g. spot measurements versus annual means)
11 which, in turn, limited the number of comparisons that could be made. A
12 summary of the number of sites available in each quality class (including
13 reference sites) from each MS is presented in Table 2. The EU working group
14 on ecological status ('ECOSTAT') defined a number of river types within each
15 GIG based on the area, altitude and predominant geology of the catchment
16 (ECOSTAT, 2004). Preliminary studies showed, however, that this
17 "intercalibration typology" was not helpful for separating diatom assemblages
18 and this typology was not used for subsequent analyses (an additional benefit
19 of pooling these types was that analyses were based on larger datasets).

20 Member States were also asked to screen all their candidate reference site
21 data according to agreed catchment land use and chemical reference
22 thresholds (Table 3: WFD CIS Guidance Document No. 10, 2003) and to
23 identify these samples in their national datasets (Table 2). Member States
24 were also asked to indicate if they used more stringent criteria (or different but
25 equivalent ones).

1 ***Development of the Intercalibration Common Metric***

2 In order to compare status class boundaries developed in each MS, national
3 metrics first had to be converted to a common scale. The mechanism for
4 doing this was to develop an 'intercalibration common metric' (ICM: see
5 Buffagni *et al.*, 2005) – a metric with a statistically-significant relationship with
6 all of the national metrics so that EQR values computed using national metrics
7 can be quoted on a common scale.

8 Initial comparisons were made between national metrics and four of the most
9 widely-used metrics: the Indice de Polluosensibilité (IPS: Coste, in
10 CEMAGREF, 1982); Trophienindex (TI: Rott *et al.*, 1999) and Saprobienindex
11 (SI: Rott *et al.*, 1997) and a revised form of the Trophic Diatom Index (TDI:
12 Kelly *et al.*, 2008). In most cases there were high correlations with national
13 metrics; however, two types of response were observed along the pressure
14 gradient, with two metrics (TI, TDI) being particularly responsive at low levels
15 of nutrient / organic pressure (moderate to high EQRs) and the other two (IPS,
16 SI) being more responsive at higher pressure levels (low to moderate EQRs).
17 Rather than use any metric in isolation, a simple multimetric, composed of two
18 of the candidate ICMs was tested. The TI was chosen over the TDI as the
19 'sensitive' metric as this had a slightly better performance when compared to
20 ambient nutrient concentrations, whilst the IPS was chosen over the SI as the
21 complementary metric as this metric was already widely used as a national
22 metric within the GIG. The metrics were converted to EQRs as follows:

23 **IPS:** this metric measures 'general water quality', and is used widely to
24 integrate effects across the entire water quality gradient (Hering *et al.*, 2006),

1 with low values corresponding to high pressure levels and, therefore, low
2 EQRs. Therefore:

$$3 \text{ EQR_IPS} = \text{Observed (O)}/\text{Expected (E)},$$

4 where: Expected = median IPS value of reference sites for a national dataset.
5 Different reference values for each national type could be used, if appropriate,
6 and the two MS without reference sites used expert judgement to select
7 reference sites from neighbouring countries (the latter were not included in the
8 calculation of a mean reference value based on all MS data).

9 **TI:** this is a trophic index, with low values corresponding to low nutrient
10 concentrations (= high ecological quality and needs to be inverted so that high
11 values represent high EQR values, therefore,

$$12 \text{ EQR_TI} = (4-O)/(4-E)$$

13 (4 is the maximum possible value of the TI). Expected values were calculated
14 as for EQR_IPS.

15 Two options for combining the metrics were considered (Table 5): where
16 metrics indicating the same stressor are combined in a multimetric index, then
17 the average of these metrics is the most appropriate value to use (based on
18 the assumption that it shows the stronger relationship across the entire
19 gradient). However, if the metrics indicate different stressors, then the
20 minimum value of the two metrics would be appropriate. The response of the
21 TI and IPS to a nutrient / organic gradient is assumed to be a composite of a
22 number of ecological and physiological processes, with interspecific
23 competition for inorganic nutrients prevailing at low pressure levels (high

1 EQRs) whilst factors such as tolerance to ammonia toxicity, capacity for
2 heterotrophic growth and survival in environments with low oxygen
3 concentration and redox potential prevailing at high levels of nutrient / organic
4 stress (low EQRs). If this is the case, then the nutrient / organic gradient could
5 be viewed as a combination of stressors, and the minimum of EQR_TI and
6 EQR_IPS might be an appropriate measure.

7 The performance of the ICM was evaluated using linear regression models.
8 The objective is to predict values of the ICM from values of each national
9 metric. Four properties were used to evaluate the relationship:

- 10 ➤ A visual examination of scatterplots to check for a linear response
11 between the ICM and national metrics;
- 12 ➤ The root mean square error (RMSE • residual standard error: a
13 measure of prediction error - Wallach & Goffinet, 1989);
- 14 ➤ The coefficient of determination (r^2); and,
- 15 ➤ The closeness of the slope of a Model I regression of the ICM against
16 the national metric to 1 (to maximise sensitivity of predictions across
17 the entire EQR scale).

18 The coefficient of determination (r^2) measures association between two
19 variables and gives little indication of the predictive power of that relationship.
20 It is also dependent, to some extent, on the length of the gradient over which
21 the coefficient is applied. RMSE, on the other hand, gives a better indication
22 of the predictive power of the relationship, regardless of gradient length. Using
23 both, along with visual examination and slope, provides a robust basis for

1 evaluating relationships between national metrics and the ICMs. Overall,
2 RMSE was lower using ICM (mean) though ICM (min) gave slopes closer to
3 unity and higher r^2 . However, examination of scatterplots showed fewer
4 obvious deviations from linearity using ICM (mean) and this was selected as
5 the most appropriate tool for this exercise.

6 ***Conversion of national metrics to the ICM***

7 Values of the national metric representing the High / Good and Good /
8 Moderate ecological status boundaries to corresponding values of the ICM
9 were computed using a conventional (Model 1) linear regression equation. For
10 each MS, EQR values from the national assessment method were plotted
11 against the corresponding EQRs from the ICM and the regression equation
12 and associated statistics were calculated. Conspicuous outliers were removed
13 prior to calculation of the regression equation. Fig. 1 shows an example
14 regression between the EQR values of the national metric and the ICM for
15 one MS. For MS with different boundary values for separate national river
16 types, a single relationship was computed for each national dataset and this
17 relationship was used to convert boundary values for each national type to the
18 ICM. Some MS had national types each with a different reference value. In
19 these cases, EQR values were calculated for each type separately and then
20 all data were pooled before the regression was calculated.

21 The EE national dataset had a curvilinear response to the ICM. A second
22 order polynomial equation was fitted to this dataset:

$$23 \text{ ICM} = a + b_1(\text{national metric as EQR}) + b_2(\text{national metric as EQR})^2$$

1 The UK national dataset also had a curvilinear response to the ICM but this
2 was less pronounced and a linear equation was computed for the portion that
3 included high, good and moderate status. ICM values for the H/G and G/M
4 boundaries are presented as the predicted value \pm the confidence limits of the
5 regression line.

6 ***Comparison of national boundaries***

7 In order to test whether status class boundaries were consistent across the
8 CB GIG, it was necessary to define the range of variation between national
9 methods that was considered to be 'acceptable'. This is, obviously, a
10 subjective process and the approach adopted here was a convention used for
11 intercalibration exercises for other biological elements. The acceptable range
12 of boundary values was considered to be the median boundary value \pm 0.05
13 EQR units for all MS that fulfilled an agreed list of criteria. If status class
14 boundaries are approximately equally spread along the EQR gradient then
15 0.05 EQR units represents approximately 25% of the distance between two
16 adjacent boundaries. The criteria used to select those MS that were used to
17 calculate the acceptable band were:

- 18 ➤ The assessment system and boundary values had been approved by the
19 competent authorities within the MS;
- 20 ➤ The national dataset contained at least six reference samples
21 (representing at least four sites) screened according to ECOSTAT and CB
22 GIG guidelines;

1 ➤ There was a statistically-significant linear relationship with the ICM (see
2 above).

3 These criteria excluded PL (whose national assessment had not been formally
4 adopted at the time of the exercise), BE-F and NL (both of whom did not have
5 any reference sites), BE-W (who use a predicted reference value for their
6 national EQR values), EE and UK (whose national metrics had a curvilinear
7 relationship with the ICM), and IE (whose data gave a low slope when the ICM
8 was plotted against the national metric).

9 In order to meet the requirements of the intercalibration exercise, MS with
10 boundary values falling below the acceptable range of boundary values
11 defined by the outcome of the exercise must harmonise their national
12 boundary values such that equivalent values of the ICM fall within the
13 acceptable range. MS with boundary values occurring above the acceptable
14 band were not required to adjust their boundary values as this would force MS
15 to impose less stringent boundaries and would be contrary to the spirit of the
16 WFD.

17 ***Statistical methods***

18 Statistical analyses were performed using the R software package (R
19 Development Core Team, 2005). The ordination technique Detrended
20 Correspondence Analysis (DCA: Hill, 1979) was run using the Vegan package
21 within R (Oksanen et al., 2007) after nomenclatural differences within the
22 national datasets had been resolved.

1 **Results**

2 ***Comparison of reference conditions***

3 Reference data were analysed in two ways: first, the IPS, SI, TI and TDI were
4 calculated for all samples, after which the biological data for all reference sites
5 were submitted to DCA.

6 Fig. 2 a-d shows the variation in values of the four metrics between MS,
7 ignoring both intercalibration and national typologies. DE, ES, PL and SE
8 tended to have lower values for SI, TI and TDI and higher values for IPS than
9 other MS, whilst BE-W, EE and LU tended to have higher values for SI, TI and
10 TDI and lower values for IPS. Other MS were neither consistently high nor
11 consistently low.

12 The mean value of the TI was 2.1 (Fig. 2c), which means that variation in
13 reference samples alone extends across about 50 per cent of the entire metric
14 scale. The TI was designed to be particularly sensitive to inorganic nutrients,
15 and the mean value of the IPS, a metric which operates across a longer
16 nutrient/organic gradient was 17.4, although LU had a mean value of 15.8 and
17 one LU reference sample had an IPS value of 11.9.

18 The ordination of reference samples showed no clear separation of MS based
19 on community composition alone; however, there were significant correlations
20 between the first axis of the DCA and the four metrics. The strongest
21 relationship was with the TDI ($r = -0.757$; Fig. 3) suggesting that there is still a
22 significant response to nutrients even after the reference screening process,
23 at least in some of the MS. It was not possible to ascertain whether this was

1 due to deficiencies in the reference screening process or to variation in
2 background nutrient concentrations.

3 ***Comparison of boundaries***

4 Fig. 4 shows the national boundary values expressed as ICM for the MS
5 participating in the exercise for high/good and good/moderate ecological
6 status respectively, along with the 95% confidence intervals for the
7 predictions. The band of acceptable values for the high/good boundary has
8 been superimposed on these charts. Seven MS fall within the acceptable
9 band for H/G and six for G/M. A few other MS are marginally above or below
10 one or both boundaries (i.e. the upper or lower 95th confidence limit overlaps
11 with the acceptable band) while in each case four MS fall outside the
12 acceptable bands for the H/G and G/M boundary. Table 5 shows a detailed
13 breakdown of results, taking national typologies into account.

14 The order of national boundaries (using the mean boundary value for MS with
15 >1 national river type) for the high / good boundary was as follows:

16 BE-W > ES > BE-F > **DE > AT > IE > EE > SE > UK > PL** > FR > LU > NL

17 (MS in bold fall within the acceptable band).

18 For the good / moderate boundary, the order was:

19 IE > BE-F > BE-W > EE > ES > **UK > DE > AT > FR > LU > SE** > PL > NL

20 The open circles in Fig. 4 indicate the adjusted high/good and good/moderate
21 boundary values that were submitted by Be-W, NL and SE following the
22 outcome of the intercalibration exercise.

1 **Discussion**

2 The WFD represents both a significant step forward in the way in which
3 surface water bodies in Europe are managed but, at the same time, it sets a
4 number of challenges for legislators. The requirement for intercalibration of
5 methods between MS is one of the significant challenges, particularly as many
6 national methods had evolved independently over a number of years to fulfil
7 particular monitoring needs within national programmes.

8 ***Methods***

9 In contrast to some other biological elements such as benthic invertebrates
10 (Buffagni et al., 2005), sampling and analysis methods for diatoms are
11 relatively consistent across Europe (Kelly et al., 1998), allowing European
12 standards (CEN, 2003, 2004) to be developed. All those participating in the
13 intercalibration exercise had methods which conformed to these which, in
14 effect, removed one potentially important source of variability from the
15 exercise. Most national metrics were based on the weighted average equation
16 of Zelinka and Marvan (1961) which meant that they were based on similar
17 calculations and all assumed that the primary pressure responsible for
18 deviations from reference conditions was due to nutrients and/or organic
19 pollution and are not sensitive to other pressures (e.g. acidification, toxic
20 pollutants). There were also some fundamental differences in philosophy with
21 regard to the construction of national methods – between, for example, the
22 IPS and TI/TDI – that complicated the intercalibration process. Three MS (BE-
23 F, ES, NL) developed new metrics which were, in many ways, closer to the
24 spirit of the WFD in that they measured deviation from the reference state in

1 terms of species composition without presuming the cause of this deviation;
2 however, these were tailored quite specifically to conditions in localised
3 regions of Europe. The ICM provides a common benchmark that can be
4 applied across Europe albeit with less sensitivity to local conditions than many
5 of the national metrics. Overall, the legal requirement to intercalibrate
6 probably contributed to a conservative approach to method development as
7 radical approaches to ecological status assessment are, by their nature, more
8 difficult to compare with other methods.

9 The WFD refers to 'macrophytes and phytobenthos' rather than to diatoms
10 alone. Most MS chose to develop separate methods for macrophytes and
11 phytobenthos, and a separate intercalibration exercise is being performed on
12 macrophytes (see Birk et al., 2006). Most MS also assumed that 'diatoms'
13 were proxies for 'phytobenthos'. The exceptions were DE and AT, both of
14 which also have separate methods for non-diatom phytobenthos
15 (Schaumburg et al., 2003; Rott et al., 1997, 1999) and UK and IE who tested
16 the assumption that diatoms were valid as proxies (Kelly, 2006; Kelly et al.,
17 2008). None measured phytobenthos abundance: although this is included in
18 the definition of ecological status in the WFD, there is little evidence that such
19 data provides information about nutrient dynamics over and above that
20 provided by taxonomic composition (Biggs & Close, 1989; Islam et al., 2007).

21 ***Comparison of reference conditions***

22 EQRs embody the core concept of the WFD: the comparison of the observed
23 state of the biota at a point in space and time with that expected in the
24 absence of anthropogenic disturbances. This means that the intercalibration

1 exercise is simultaneously evaluating two important concepts: the sensitivity
2 of the national metric to the pressure gradient and the value of the
3 denominator. The WFD implies that it should be possible to derive the
4 biological reference conditions for a water body from the hydromorphological
5 and physico-chemical attributes that defines its type. Establishing that
6 reference sites are truly free from anthropogenic influences was a time-
7 consuming task for all MS, and was critically dependent upon the availability
8 of suitable data. The criteria by which reference sites were selected varied
9 between MS (see Table 4). The consensus within CB GIG was that land use
10 criteria provided the most sensitive means of selecting reference sites
11 although such data were not available for all MS and there was always a
12 measure of expert judgement in the process. There was not, however, always
13 a correlation between the rigour of the screening process and the values of
14 metrics at reference sites (Fig. 2 a-d) and there was also still a nutrient-related
15 gradient within the reference samples. It is not clear from this exercise
16 whether these differences are due to underlying differences in the unimpacted
17 state between MS or whether they reflect failures to screen data adequately.

18 ***Limitations of the intercalibration exercise***

19 The WFD requires MS to establish biologically-relevant 'typologies' for water
20 bodies, each of which should have a unique reference value. In theory, this
21 allows MS to take account of hydrological and geological factors which affect
22 the fauna and flora when setting the reference values. A simple Europe-wide
23 typology should in principle, improve the precision of the intercalibration
24 exercise - allowing comparisons between ecologically-similar sites within the
25 GIG; however, the 'intercalibration typology' (ECOSTAT, 2004) proved

1 unhelpful because it was unable to differentiate between the diatom
2 assemblage found at 'reference conditions'. Abandoning the intercalibration
3 typology had the added benefit of allowing those MS with fairly small datasets
4 to pool all their samples into a single large dataset rather than split these
5 between spurious 'types', with the concomitant loss of precision in the
6 exercise.

7 A further limitation is that the exercise implicitly considered only the response
8 of national methods to a general gradient of eutrophication and organic
9 pollution, from which sites suffering from pressures such as acidification and
10 toxic pollution had been removed.

11 ***Practical consequences***

12 The results of the first round of Intercalibration, completed in 2007, will be
13 published in the European Commission's technical report (van de Bund et al.,
14 2008). MS will be legally bound by the boundary values published in this
15 report and will use these boundaries to inform the first river basin
16 management plans to be published in 2009. The river basin management
17 plans will outline the programmes of measures required for water bodies that
18 fail to meet good ecological status to ensure that good status is achieved by
19 2015.

20 MS that submit intercalibration data or finalise their national boundary values
21 subsequent to the publication of the results of the first intercalibration exercise
22 must ensure that their national boundary values (expressed as ICM) fall within
23 the acceptable band for high/good and good/moderate status boundaries.

1 **Conclusions**

2 The intercalibration exercise presented a number of challenges for those
3 taking part. It also proved to be a valuable forum for exchanging views and
4 ideas on how the WFD should be interpreted and allowed MS to reconsider
5 provisional classification boundaries based on expert judgement against
6 reference data from the GIG region (Denys 2006). Although it forced a re-
7 evaluation of national approaches in some cases, the final results were
8 surprisingly consistent, with most MS falling in or very close to the 'acceptable
9 band' for high/good and good/moderate status boundaries. The upper and
10 lower limits of the 'acceptable bands' now become the *de facto* limits for all
11 MS within CB GIG and those MS who fell below are required by the European
12 Commission to adjust their national boundaries or present a reasoned
13 justification for retaining their present limits. It also sets limits for those MS
14 that fall within the geographical limits of CB GIG but which had not established
15 national methods by the time that the exercise was conducted.

16 A criticism of exercises such as this is that they encourage a conservative
17 approach to the development and application of methods. MS with established
18 and extensive monitoring programmes contribute the bulk of data, centring the
19 acceptable boundary ranges around those of their national classification. The
20 WFD is, in many ways, an ambitious and visionary piece of legislation (Moss,
21 2007); however, the need to 'intercalibrate' is one factor that discourages
22 radically new approaches to environmental monitoring as methods need to
23 produce data that can be compared with that produced by other MS.
24 Furthermore, the 'acceptable band' represents no more than a consensus of
25 national viewpoints and whilst the exercise was able to ensure that all were

1 broadly compatible with the normative definitions, and to set minimum
2 requirements that national methods should meet, the outcome is perhaps best
3 described as 'acceptable practice' rather than 'best practice'. However,
4 compared with the state of algal-based monitoring of European rivers just one
5 or two decades ago (Whitton et al., 1991; Whitton & Rott, 1996), the results of
6 this exercise must be seen as a major step in the right direction.

7 **Conclusions**

- 8 1. Intercalibration of diatom-based monitoring techniques used by EU
9 members to assess ecological status was facilitated by the adoption of
10 similar sampling and analytical methods and metrics; it is, however,
11 complicated by the adoption of different conceptual frameworks.
- 12 2. Although reference sites in all participating countries were subject to the
13 same minimum screening criteria, a significant nutrient gradient remained
14 in the dataset. Part of this may be due to differences in background
15 nutrient concentrations in different stream types but the study was unable
16 to separate out different stream types in a meaningful manner.
- 17 3. Use of a common intercalibration metric enabled all high/good and
18 good/moderate ecological status boundaries to be converted to a common
19 scale and compared. As a result of this, countries with particularly high or
20 low boundary values were able to adjust to a value that was in line with
21 those adopted by other countries participating in the exercise.

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- 12

1 **Table 1: Member State (MS) national metric/assessment methods for**
 2 **phytobenthos intercalibration.**

MS	National metric
AT	<p>Multimetric method consisting of 3 modules/metrics:</p> <p>A) trophic status module (based on Trophienindex: Rott et al. 1999)</p> <p>B) saprobic status module (based on Saprobic Index: Rott et al. 1997)</p> <p>C) reference species module (portion of defined reference and bioregion-specific species in total abundance and species number)</p> <p>Ecological status is evaluated separately for each of the modules and overall phytobenthos classification is equivalent to the worst of the three results (worst-case-scenario).</p>
BE-F	Proportions of impact-sensitive ('positive') and impact-associated ('negative') indicator taxa (Hendrickx & Denys, 2005)
BE-W	IPS (Coste, in CEMAGREF, 1982; Lenoir & Coste, 1996)
DE	<p>Diatom Module: WFD Diatom Index = Average of the sum of abundances of type specific reference species (following Schaumburg et al. 2005) and Trophienindex (Rott et al., 1999) or (in one special case) Saprobic Index (Rott et al., 1997). Additional metrics are available for cases of acidification or salinisation.</p> <p>There are, in addition, modules for non diatom algae and Macrophytes (Schaumburg et al. 2005)</p> <p>Ecological status is calculated and classified from the average of the three module scores. If a module is absent, status class can be calculated with two modules or, exceptionally, with a single module. For this reason every module is classified separately and can be considered separately for intercalibration purposes. The national classification system needs all modules of the benthic flora occurring in a monitoring section of a water body.</p>
EE	IPS (Lenoir & Coste, 1996); boundaries set following WFD CIS Guidance Document No. 10 (2003).
ES	<p>MDIAT (Diatom multimetric). composed by averaging six indices calculated using OMNIDIA (SHE +SLAD+IDG+TDI+IPS+L&M – see below) along with two sensitive taxa metrics constructed with the reference diatom community of small and medium-sized rivers in Galicia (NW Spain) (FPSS+PABSS).</p> <p>Note: SLAD: Slàdecek (1986); SHE: Schiefele & Schreiner (1991); IDG: Coste & Ayphassorho (1991); IPS: Coste in CEMAGREF (1982); L&M: Leclercq and Maquet (1997); TDI: Kelly & Whitton (1995); FPSS: % richness of sensitive taxa (developed for Galicia,); PABSS: % abundant of sensitive taxa (developed for Galicia)</p>

MS	National metric
FR	IBD (Lenoir & Coste, 1996, AFNOR NF T90-354, 2000)
IE	Revised form of Trophic Diatom Index (TDI) (Kelly et al., 2008)
LU	IPS (Coste, in CEMAGREF, 1982)
NL	EKR based on proportions of positive and negative indicator taxa (Van der Molen, 2004)
PL	Average of Trophic Index (Rott et al., 1999) and Saprobic Index (Rott et al., 1997)
SE	Swedish assessment methods, Swedish EPA regulations (NFS 2008:1) based on IPS (Coste, in CEMAGREF, 1982). See Johnson <i>et al.</i> (2003).
UK	Revised form of Trophic Diatom Index (TDI) (Kelly et al., 2008)

1

1 **Table 2: Summary of the number of reference sites and the number of**
 2 **sites in each quality class from each Member State according to their**
 3 **national methods.**

Member State	Reference	High	Good	Moderate	Poor	Bad	Total
AT	18	18	278	168	52	3	519
BE-F	0	0	15	22	29	14	80
BE-W	37	26	250	121	47	23	467
DE	8	8	11	22	11	1	53
EE	12	56	8	2	0	0	66
ES	18	40	57	41	6	0	144
FR	31	49	58	137	53	7	304
IE	12	14	16	16	4	1	51
LU	44	97	34	41	24	6	202
NL	0	26	57	32	18	20	153
PL	6	8	4	9	5	0	26
SE	16	16	10	15	4	1	46
UK	46	314	211	377	139	10	1051
Total	248	672	1009	1003	392	86	3162

4

- 1 **Table 3: Criteria used by Member States to select reference sites. Key: 0:**
 2 **missing info; 1: not used; 2, measured; 3, estimated; 4, field inspection;**
 3 **5, expert judgement.**

	Landuse data (e.g. CORINE)	BOD ₅	O ₂	Phosphorus fractions	Nitrogen fractions	Comments
AT	1	2	1	2	1	
BE-F	2	2	2	2	2	
BE-W	1	2	2	2	2	
DE	3	2	2	2	2	Hydro morphological degradation, biological data, expert judgement
EE	0	1	1	2	2	
ES	2	2	2	2	2	REFCOND criteria used for invertebrate exercise
FR	2	2	2	2	2	
IE	3	2	2	2	2	
LU	3	2	2	2	2	A land use index was set from ministry of environment CORINE data
NL	5	5	5	5	5	
PL	3	2	1	2	0	
SE	2	1	1	2	1	Assessment of acidification
UK	1	3	3	2	2	

4

1 **Table 4: Performance characteristics of linear regressions between**
2 **national metrics and the minimum ('min') and mean ('mean')**
3 **intercalibration metric (ICM) (based on EQR_TI and EQR_IPS). (* = non-**
4 **linear response)**

Member State	RMSE		Slope		r ²	
	min	mean	min	mean	min	mean
AT	0.072	0.056	0.901	0.654	0.616	0.506
BE-F	0.130	0.111	0.840	0.886	0.591	0.686
BE-W	0.065	0.083	0.640	0.645	0.792	0.705
DE	0.091	0.086	0.694	0.885	0.687	0.803
EE *	0.037	0.083	1.021	1.197	0.888	0.685
ES	0.0116	0.083	1.034	0.874	0.673	0.743
FR	0.105	0.122	0.668	0.826	0.621	0.653
IE	0.123	0.096	0.527	0.401	0.528	0.514
LU	0.110	0.079	0.622	0.719	0.540	0.752
NL	0.119	0.096	0.490	0.541	0.550	0.696
PL	0.037	0.062	1.067	1.030	0.983	0.951
SE	0.098	0.093	1.974	1.865	0.824	0.825
UK	0.095	0.061	0.379	0.233	0.349	0.323

5

1 **Table 5. High/good and good/moderate boundaries expressed as ICM for**
 2 **all national datasets, sub-divided by national river type, where**
 3 **appropriate. The acceptable band for H/G is 0.839 – 0.939 and for G/M it**
 4 **is 0.654 – 0.754.**

River Type	Properties of regression			Boundaries	
	R-squared	RMSE	slope	High/Good	Good / Moderate
AT (Austria)	0.683	0.068	0.758		
< 500 m				0.917	0.705
> 500 m				0.917	0.705
mean				0.917	0.705
BE-F (Belgium - Flanders)	0.686	0.112	0.886	0.997	0.82
BE-W (Belgium – Wallonia)	0.755	0.116	1.023	1.021	0.796
DE (Germany)	0.803	0.086	0.885		
R-C1, R-C3				0.930	0.707
R-C4				0.877	0.707
R-C5				0.983	0.824
mean				0.930	0.746
EE (Estonia)	0.828	0.062	*	0.862	0.779
ES (Spain)	0.718	0.109	1.054	1.001	0.759
FR (France)	0.653	0.128	0.826		
Type 1				0.830	0.699
Type 2				0.830	0.699
Type 3				0.820	0.669
Type 4				0.830	0.699
mean				0.828	0.703
IE (Ireland)	0.566	0.092	0.446	0.911	0.844
LU (Luxembourg)	0.869	0.820	0.961	0.838	0.694

River Type	Properties of regression			Boundaries	
	R-squared	RMSE	slope	High/Good	Good / Moderate
NL (Netherlands)	0.696	0.096	0.541	0.685	0.577
PL (Poland)	0.951	0.060	1.030	0.849	0.633
SE (Sweden)	0.910	0.066	1.206	0.861	0.668
UK	0.563	0.121	*	0.858	0.752
Median Boundary				0.889	0.704

1 *Curvilinear relationship between National Metric and ICM

1 **List of figures**

2 Fig. 1. Relationship between ecological quality ratios (EQR) of the national
3 metric and the intercalibration common metric (ICM) for a (hypothetical)
4 member state in the CB GIG intercalibration exercise, showing how a national
5 boundary for Good/Moderate status is converted to an ICM value.

6 Fig. 2. Variation in values of Indice de Polluosensibilité (IPS, a),
7 Saprobienindex (SI, b), Trophienindex (TI, c) and Trophic Diatom Index (TDI,
8 d) for reference samples between Member States participating in the
9 phytobenthos intercalibration exercise. Horizontal lines indicate the overall
10 mean values for each index.

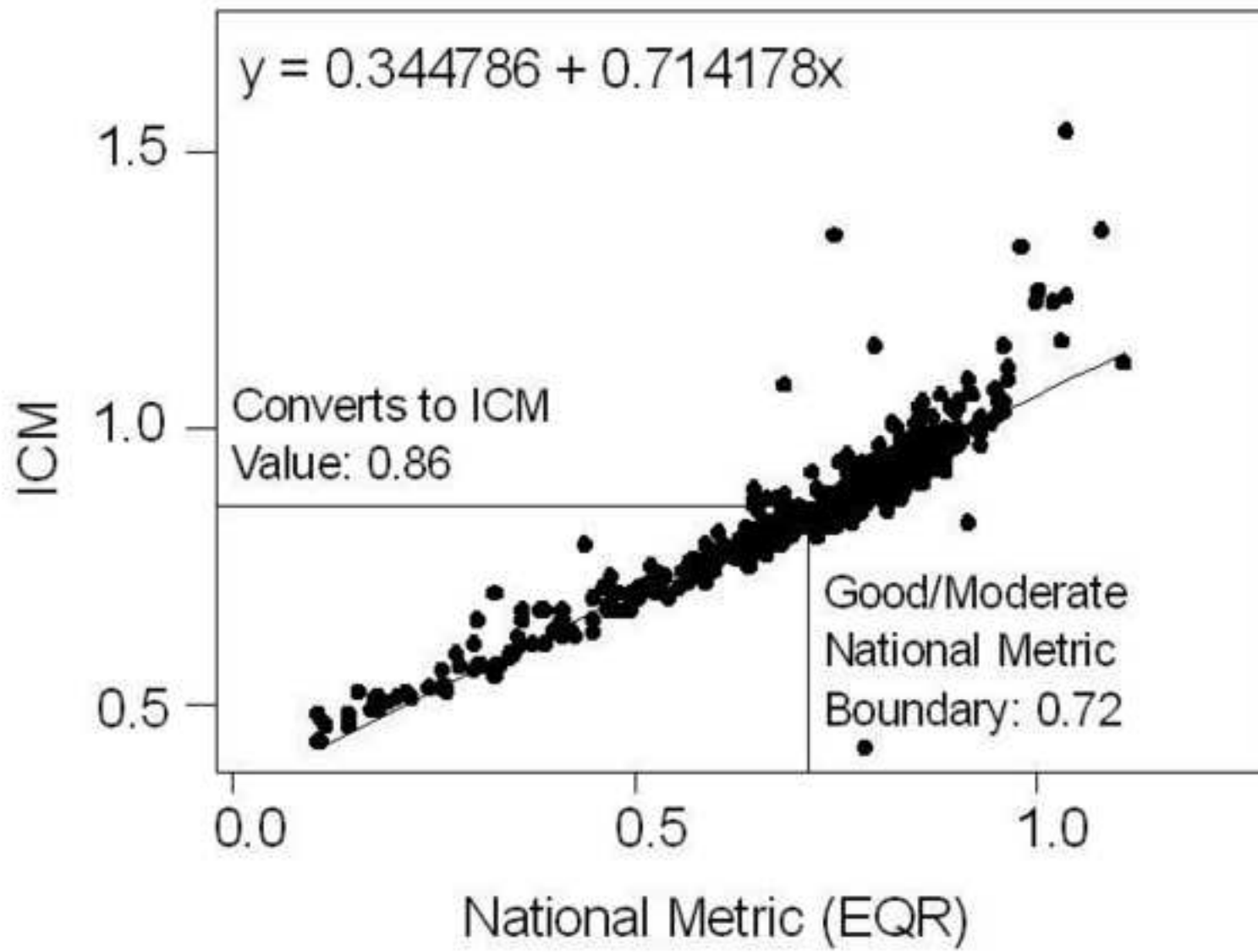
11 Fig. 3: Relationship between Trophic Diatom Index (TDI) and the first axis of a
12 Detrended Correspondence Analysis based on all reference samples used in
13 the intercalibration exercise.

14 Fig. 4: Boundaries for a) high/good and b) good/moderate proposed by
15 participants in the CB GIG phytobenthos intercalibration exercise. Data points
16 show either the predicted boundary value \pm 95% confidence limits (for those
17 countries with a single boundary value) or the mean of all national boundary
18 values, along with the highest and lowest confidence limits of the predictions
19 (for those countries with >1 boundary value). The horizontal rectangle shows
20 the approximate limits of acceptable boundary values for high/good: 0.839 –
21 0.939 and good/moderate 0.654 – 0.754. Open circles represent adjusted or
22 ‘harmonised’ boundary values.

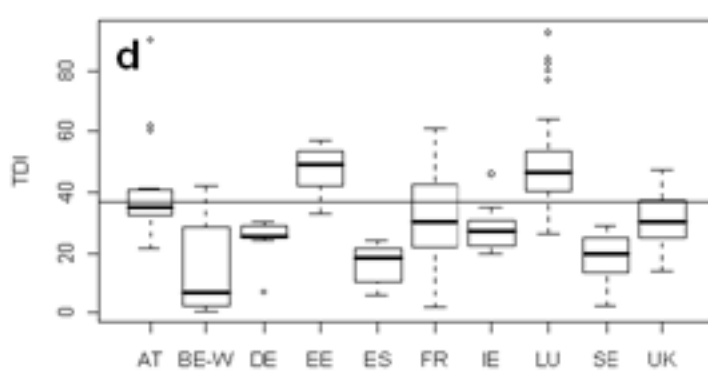
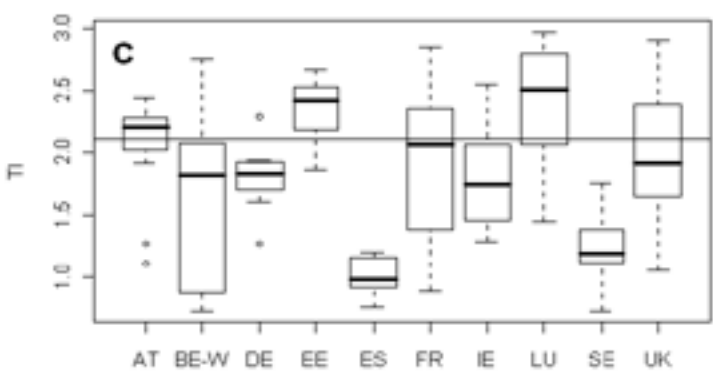
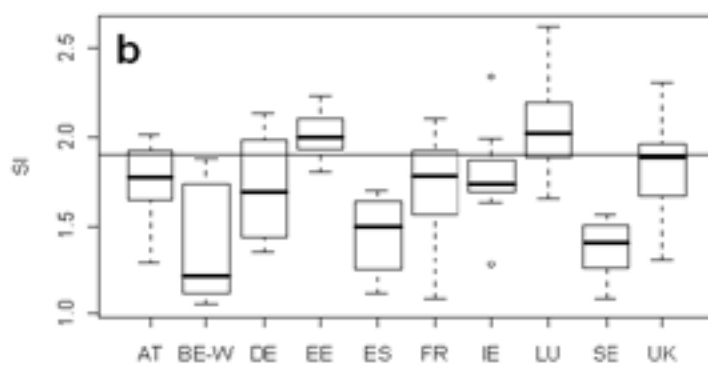
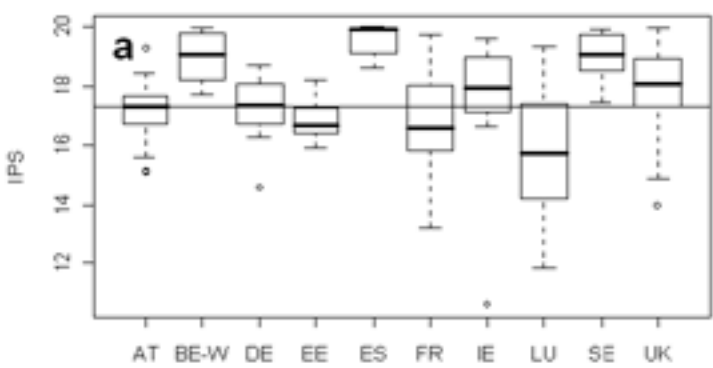
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Figure

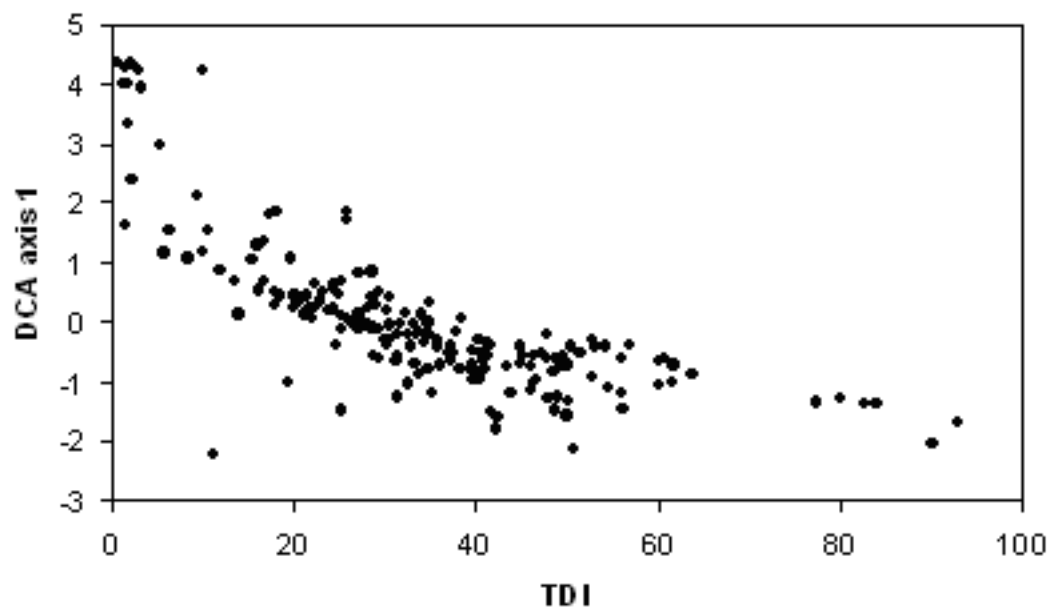
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Figure

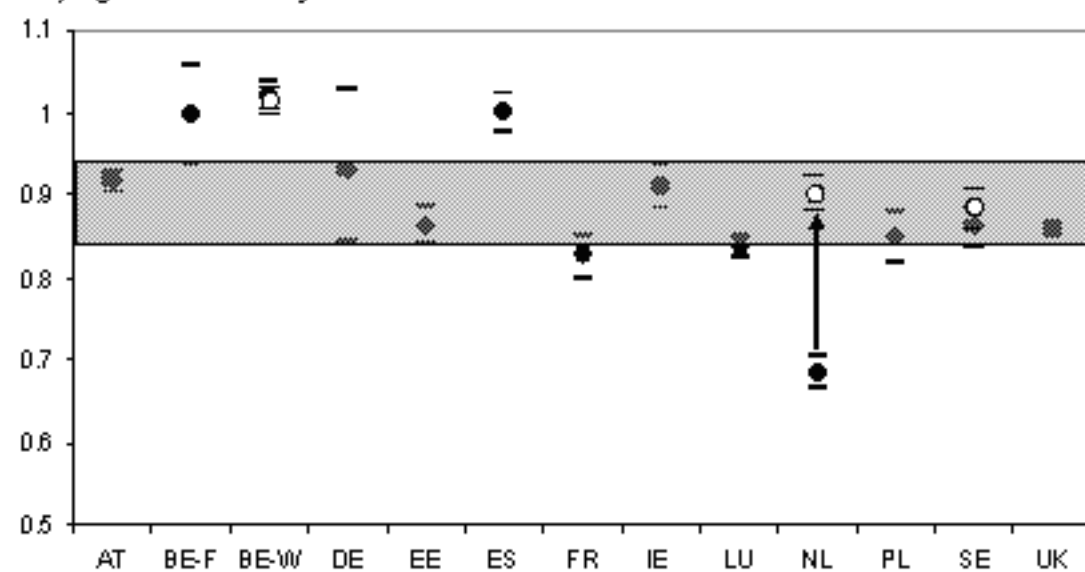


Figure



Figure

a) High/Good Boundary



b) Good/Moderate Boundary

